

Att'y Dkt. No. 082380-0339

☐ DESIGN ☒ UTILITY PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: David R. Payne, Gerald A. Stangl,
Norman E. Stevens, Jr., and Michael
F. Gard

Group No.: 3672

Examiner: R. Addie

Serial No.: 09/481,351

Filed: January 12, 2000

For: SYSTEM AND METHOD FOR
AUTOMATICALLY DRILLING
AND BACKREAMING A
HORIZONTAL BORE
UNDERGROUND

Commissioner for Patents
Washington, D.C. 20231

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

List of Sections Forming Part of This Information Disclosure Statement.

The following sections are being submitted for this Information Disclosure Statement:
(check sections forming a part of this statement: discard unused sections and number pages consecutively)

1. ☒ Preliminary Statements
2. ☒ FORM PTO/SB/08A (Substitute for form 1449A/PTO)
3. ☐ Statement as to Information Not Found in Patents or Publications
4. ☐ Identification of Prior Application in Which Listed Information Was Already Cited and for Which No Copies Are Submitted or Need Be Submitted
5. ☐ Cumulative Patents or Publications
6. ☒ Copies of Listed Information Items Accompanying This Statement
7. ☐ Concise Explanation of Non-English Language Listed Information Items
- 7A. ☐ EPO Search Report
- 7B. ☐ English Language Version of EPO Search Report

CERTIFICATION UNDER 37 CFR § 1.8

I hereby certify that this correspondence is being deposited on January 29, 2002, with the United States Postal Service as First Class Mail in an envelope addressed to Commissioner for Patents, Washington, D.C. 20231.

Sean V. O'Connell
Name of applicant, assignee or
registered representative
SVO/tdr/82380-491/465082_1.DOC


Signature

January 29, 2002
Date

8. ☐ Translation(s) of Non-English Language Documents

Section 1. Preliminary Statements

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 C.F.R. § 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

Section 2. Form PTO/SB/08A (Substitute for form 1449A/PTO)

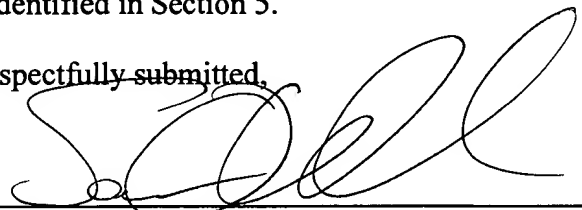
- ☒ A completed Form PTO/SB/08A is attached hereto.
- ☐ No Form PTO/SB/08A is attached.

Section 6. Copies of Listed Information items Accompanying this Statement

Legible copies of all items listed in Form PTO-1449 (Modified) accompany this information disclosure statement.

- ☐ Exception(s) to above:
- ☐ Items in prior application from which an earlier filing date is claimed for this application, as identified in Section 4.
- ☐ Cumulative patents or publications identified in Section 5.

Respectfully submitted,



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TRANSMITTAL OF SUPPLEMENTAL INFORMATION DISCLOSURE
STATEMENT BEFORE MAILING DATE OF EITHER A FINAL ACTION
OR NOTICE OF ALLOWANCE (37 C.F.R. 1.97(c))

1. The Supplemental Information Disclosure Statement submitted herewith is being filed after three months of the filing date of this application or the date of entry of the national stage as set forth in §1.491 in an international application, or after the mailing date of the first Office action on the merits, whichever event occurred last but before the mailing date of either:

- (1) a final action under §1.113; or
- (2) a notice of allowance under § 1.311,

whichever occurs first.

STATEMENT OR FEE

2. Accompanying this transmittal is

(check either A or B below)

A. ☐ a statement as specified in 37 CFR 1.97(e).

CERTIFICATION UNDER 37 CFR § 1.8

I hereby certify that this correspondence is being deposited on January 29, 2002, with the United States Postal Service as First Class Mail in an envelope addressed to Commissioner for Patents, Washington, D.C. 20231.

Sean V. O'Connell

Name of applicant, assignee or
registered representative

SVO/ldr/82380-0339/463869_1.DOC

Signature

January 29, 2002

Date

OR

- B. ☒ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under §1.97(c) in the amount of \$180.00.

FEE PAYMENT

(complete this item, if applicable)

3. Applicant elects the option to pay the fee set forth in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under § 1.97(c)

Fee due \$180.00

METHOD OF PAYMENT OF FEE

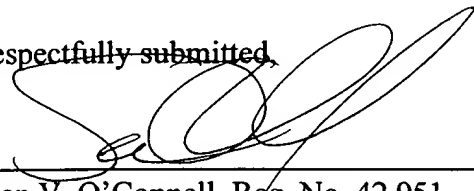
4.

- ☐ Attached is a check in the amount of \$ _____.
- ☒ Charge Account No. 13-2493 in the amount of \$180.00.
A duplicate of this request is attached.
- ☒ If any additional fees are due, please charge Account No. 13-2493.

ADDITIONAL ENCLOSURES

5. ☒ Form PTO/SB/08A (Substitute for form 1449A/PTO)
- ☒ A pre-addressed postcard is also enclosed.

Respectfully submitted,



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